Robinson+Cole



Wen (Jennifer) Shi

Associate

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Wen (Jennifer) Shi focuses her practice on capital markets, securities law, and corporate transactions. She advises public and private companies, as well as underwriters, placement agents, and institutional investors, in U.S. capital markets transactions, with a focus on initial public and follow-on offerings. Her experience includes more than 30 U.S. capital markets transactions, including IPOs, follow-on offerings, private placements, and de-SPAC transactions.

With experience in securities law, corporate finance, and corporate governance, Jennifer advises clients throughout the transaction lifecycle, from transaction structuring and preparation of SEC registration statements to regulatory review, exchange listing, and closing. She works closely with issuers, investment banks, auditors, transfer agents, FINRA, Nasdaq, and local counsel to facilitate timely execution and closing.

Jennifer has worked directly with multiple Nasdaq-listed public company clients. She also assists companies with listing on major U.S. securities exchanges and ongoing compliance with federal securities laws, including SEC disclosure and reporting obligations. Her advice addresses Nasdaq listing standards, corporate governance, shareholder approval requirements, continued listing compliance, and equity restructuring transactions.

Services

- Capital Markets + Securities

Education

- University of California, Los Angeles - School of Law (LL.M.)
  - Business Law specialization
- China University of Political Science and Law (LL.B.)

Admissions

- State of New York
- People’s Republic of China (non-practicing)

Languages

- Mandarin

Leadership + Memberships

New York State Bar Association